


# Statistical Genetics Research: Kinship, Bias, Admixture

Alejandro Ochoa

—  
 DrAlexOchoa

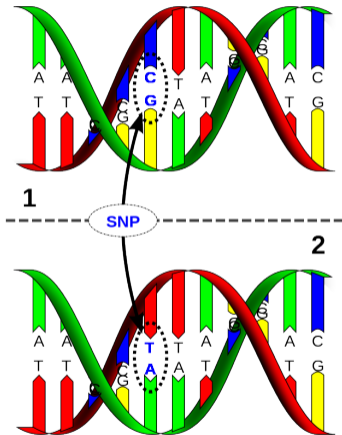
 ochoalab.github.io

 alejandro.ochoa@duke.edu

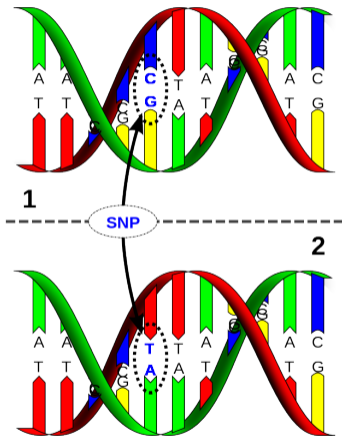
StatGen, Biostatistics & Bioinformatics — Duke University

2021-08-19 — B&B Orientation

# Single Nucleotide Polymorphism (SNP) data



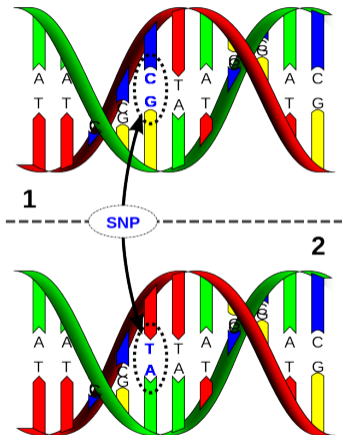
# Single Nucleotide Polymorphism (SNP) data



⇒

Genotype	$x_{ij}$
C/C	0
C/T	1
T/T	2

# Single Nucleotide Polymorphism (SNP) data



⇒

Genotype	$x_{ij}$
C/C	0
C/T	1
T/T	2

⇒

Loci

Individuals

0	2	2	1	1	0	1
0	2	1	0	1		
2	...					

X

# Dependence structure of genotype matrix

	Individuals						
Loci	0	2	2	1	1	0	1
	0	2	1	0	1		
	2	...					

**X**

High-dimensional binomial data

- ▶ No general likelihood function
- ▶ My work: method of moments

# Dependence structure of genotype matrix

	Individuals						
Loci	0	2	2	1	1	0	1
	0	2	1	0	1		
	2	...					

**X**

High-dimensional binomial data

- ▶ No general likelihood function
- ▶ My work: method of moments

**Relatedness / Population structure**

- ▶ Dependence between individuals (columns)

# Dependence structure of genotype matrix

	Individuals						
Loci	0	2	2	1	1	0	1
	0	2	1	0	1		
	2	...					

**X**

High-dimensional binomial data

- ▶ No general likelihood function
- ▶ My work: method of moments

**Relatedness / Population structure**

- ▶ Dependence between individuals (columns)

Linkage disequilibrium

- ▶ Dependence between loci (rows)

# New kinship estimator for general relatedness



# New kinship estimator for general relatedness

Kinship model for neutral genotypes  $x_{ij} \in \{0, 1, 2\}$ :

$$E[x_{ij}] = 2p_i, \quad \text{Cov}(x_{ij}, x_{ik}) = 4p_i(1 - p_i)\varphi_{jk}.$$

# New kinship estimator for general relatedness

Kinship model for neutral genotypes  $x_{ij} \in \{0, 1, 2\}$ :

$$E[x_{ij}] = 2p_i, \quad \text{Cov}(x_{ij}, x_{ik}) = 4p_i(1 - p_i) \varphi_{jk}.$$

Standard estimator is **biased**:

$$\hat{p}_i = \frac{1}{2n} \sum_{j=1}^n x_{ij}, \quad \hat{\varphi}_{jk}^{\text{std}} = \frac{1}{m} \sum_{i=1}^m \frac{(x_{ij} - 2\hat{p}_i)(x_{ik} - 2\hat{p}_i)}{4\hat{p}_i(1 - \hat{p}_i)} \approx \frac{\varphi_{jk} - \bar{\varphi}_j - \bar{\varphi}_k + \bar{\varphi}}{1 - \bar{\varphi}}.$$

# New kinship estimator for general relatedness

Kinship model for neutral genotypes  $x_{ij} \in \{0, 1, 2\}$ :

$$E[x_{ij}] = 2p_i, \quad \text{Cov}(x_{ij}, x_{ik}) = 4p_i(1 - p_i) \varphi_{jk}.$$

Standard estimator is **biased**:

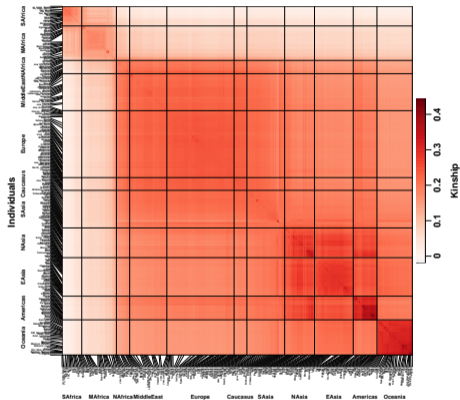
$$\hat{p}_i = \frac{1}{2n} \sum_{j=1}^n x_{ij}, \quad \hat{\varphi}_{jk}^{\text{std}} = \frac{1}{m} \sum_{i=1}^m \frac{(x_{ij} - 2\hat{p}_i)(x_{ik} - 2\hat{p}_i)}{4\hat{p}_i(1 - \hat{p}_i)} \approx \frac{\varphi_{jk} - \bar{\varphi}_j - \bar{\varphi}_k + \bar{\varphi}}{1 - \bar{\varphi}}.$$

**popkin**: first unbiased kinship estimator! R package (Ochoa and Storey, 2021)

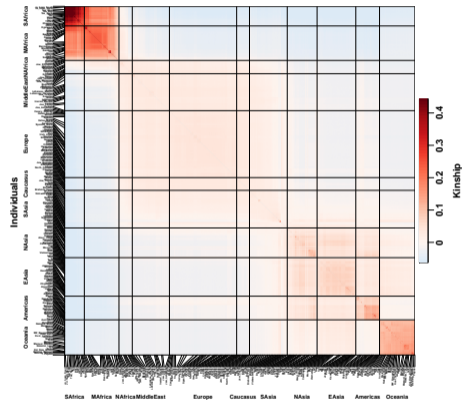
$$A_{jk} = \frac{1}{m} \sum_{i=1}^m (x_{ij} - 1)(x_{ik} - 1) - 1, \quad \hat{\varphi}_{jk}^{\text{new}} = 1 - \frac{A_{jk}}{\hat{A}_{\min}} \xrightarrow[m \rightarrow \infty]{\text{a.s.}} \varphi_{jk}.$$



# Kinship bias: Consequences? Applications?



New "popkin"  
kinship estimator



Biased "standard"  
kinship estimator

Ochoa and Storey (2019b) doi:10.1101/653279

# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai

# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai

Association with Principal Components Analysis (PCA)  
and Linear Mixed-effects Model (LMM):

# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai

Association with Principal Components Analysis (PCA)  
and Linear Mixed-effects Model (LMM):

$$\text{PCA :} \quad \mathbf{y} = \mathbf{1}\alpha + \mathbf{x}_i\beta + \mathbf{U}_d\gamma_d + \epsilon,$$

$$\text{LMM :} \quad \mathbf{y} = \mathbf{1}\alpha + \mathbf{x}_i\beta + \mathbf{s} + \epsilon.$$

# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai

Association with Principal Components Analysis (PCA)  
and Linear Mixed-effects Model (LMM):

$$\text{PCA : } \quad \mathbf{y} = \mathbf{1}\alpha + \mathbf{x}_i\beta + \mathbf{U}_d\gamma_d + \epsilon,$$

$$\text{LMM : } \quad \mathbf{y} = \mathbf{1}\alpha + \mathbf{x}_i\beta + \mathbf{s} + \epsilon.$$

$\mathbf{U}_d$  are top  $d$  eigenvectors of kinship matrix  $\Phi$ .  
 $\mathbf{s} \sim \text{Normal}(\mathbf{0}, \sigma^2\Phi)$ .



# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai

Association with Principal Components Analysis (PCA)  
and Linear Mixed-effects Model (LMM):

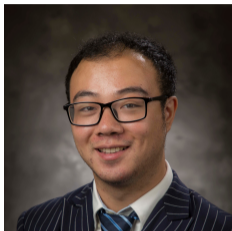
$$\text{PCA : } \mathbf{y} = \mathbf{1}\alpha + \mathbf{x}_i\beta + \mathbf{U}_d\gamma_d + \epsilon,$$

$$\text{LMM : } \mathbf{y} = \mathbf{1}\alpha + \mathbf{x}_i\beta + \mathbf{s} + \epsilon.$$

$\mathbf{U}_d$  are top  $d$  eigenvectors of kinship matrix  $\Phi$ .  
 $\mathbf{s} \sim \text{Normal}(\mathbf{0}, \sigma^2\Phi)$ .

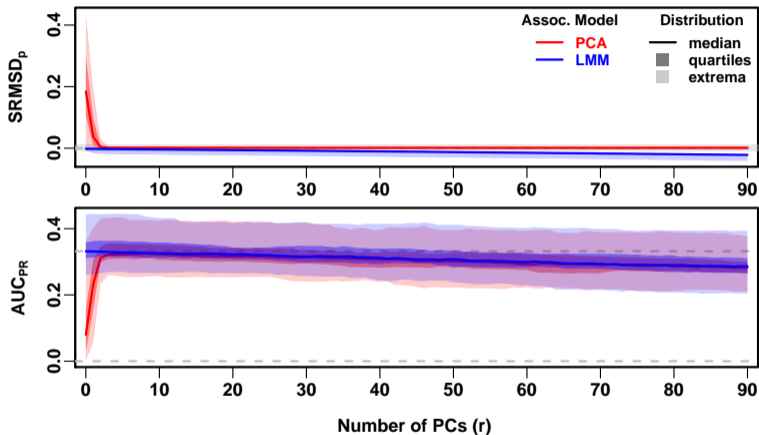
- ▶ PCA is faster but low-dimensional
- ▶ LMM is slower but can model families
- ▶ Both depend on estimated kinship matrix

# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai



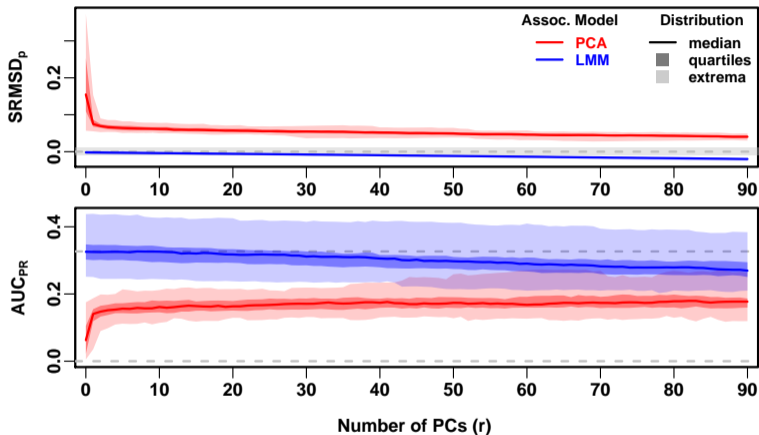
Simulated admixed individuals

# Principal components vs mixed effects in genetic association



Yiqi Yao  
MB 2020

BenHealth  
Shanghai



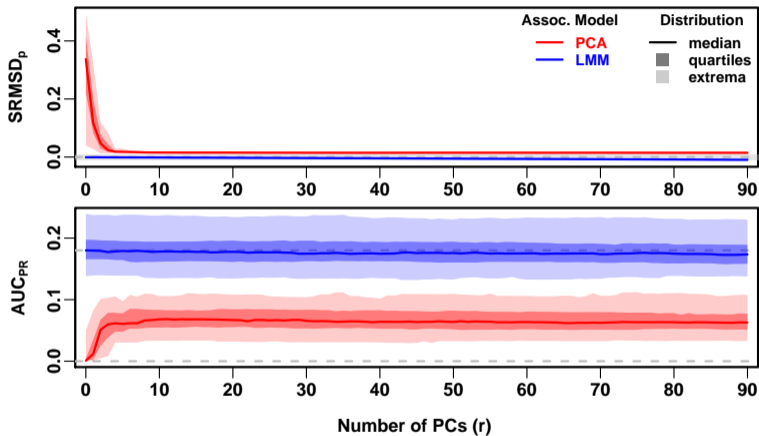
Simulated admixed family

# Principal components vs mixed effects in genetic association



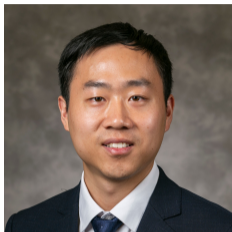
Yiqi Yao  
MB 2020

BenHealth  
Shanghai



1000 Genomes Project

# Kinship bias does not affect genetic associations



Zhuoran Hou  
MB 2021

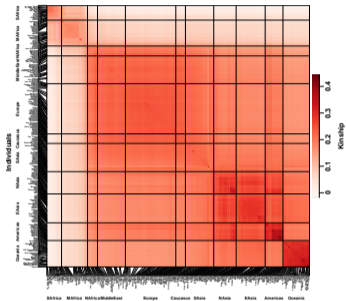
Now: B&B PhD

# Kinship bias does not affect genetic associations

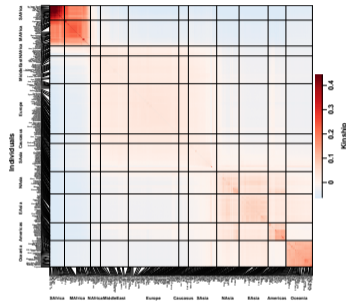


Zhuoran Hou  
MB 2021

Now: B&B PhD

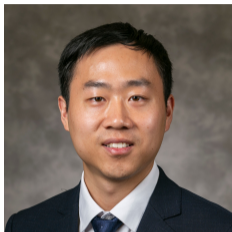


New popkin  
kinship estimator



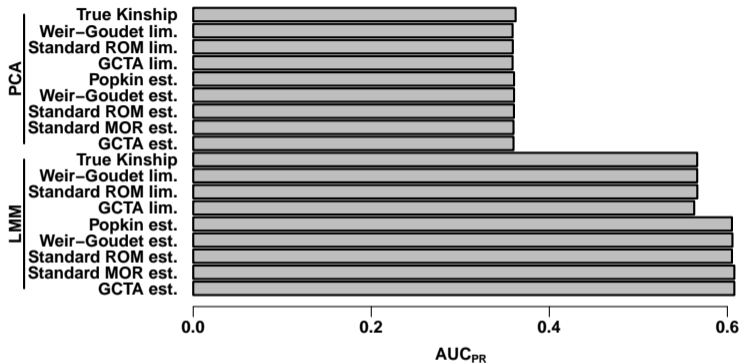
Standard  
kinship estimator

# Kinship bias does not affect genetic associations



Zhuoran Hou  
MB 2021

Now: B&B PhD



Kinship bias doesn't matter?

# Kinship bias does not affect genetic associations

Proved with linear algebra!



Zhuoran Hou  
MB 2021

Now: B&B PhD

$$\Phi' = \frac{1}{1 - \bar{\varphi}} \mathbf{C} \Phi \mathbf{C}, \quad \mathbf{C} = \mathbf{I} - \frac{1}{n} \mathbf{J}.$$



# Kinship bias does not affect genetic associations



Zhuoran Hou  
MB 2021

Now: B&B PhD

Proved with linear algebra!

$$\Phi' = \frac{1}{1 - \bar{\varphi}} \mathbf{C} \Phi \mathbf{C}, \quad \mathbf{C} = \mathbf{I} - \frac{1}{n} \mathbf{J}.$$

In LMM and PCA, the bias is compensated by the scale and intercept coefficients:

$$\sigma' = \sigma \sqrt{1 - \bar{\varphi}},$$

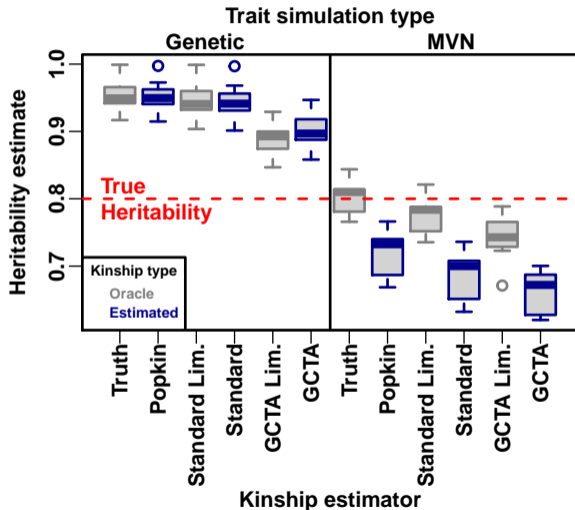
$$\alpha' = \alpha + \sigma \frac{1}{n} \mathbf{1}^\top \Phi^{\frac{1}{2}} \mathbf{r}.$$

# Kinship bias affects heritability estimation



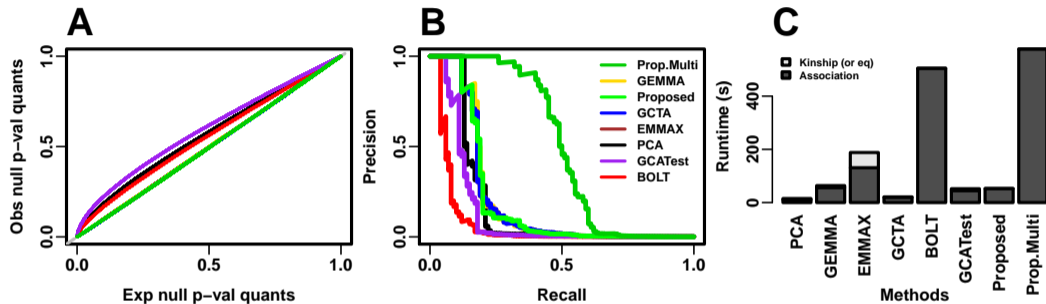
Zhuoran Hou  
MB 2021

Now: B&B PhD

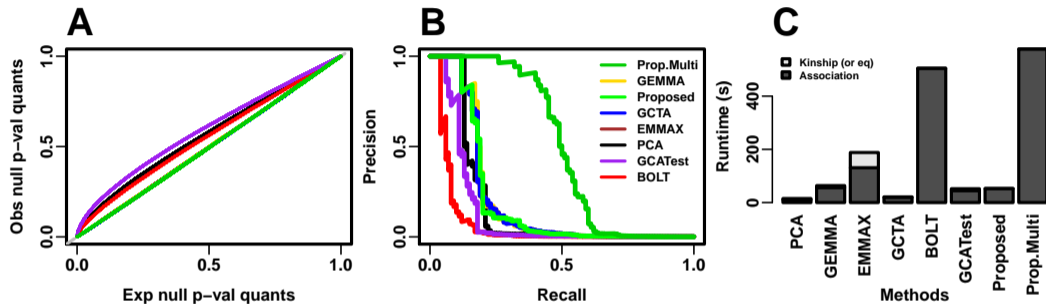


# LIGERA: light genetic robust association

# LIGERA: light genetic robust association

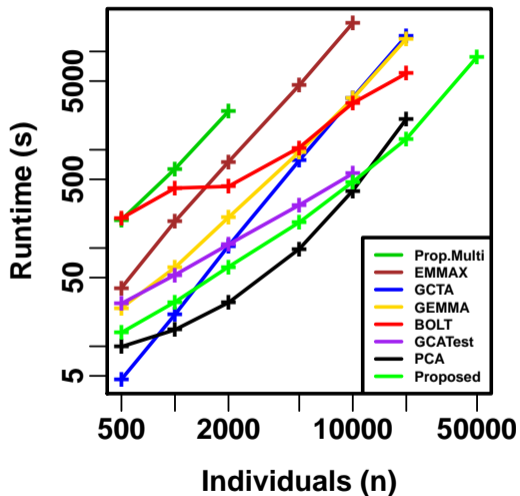


# LIGERA: light genetic robust association



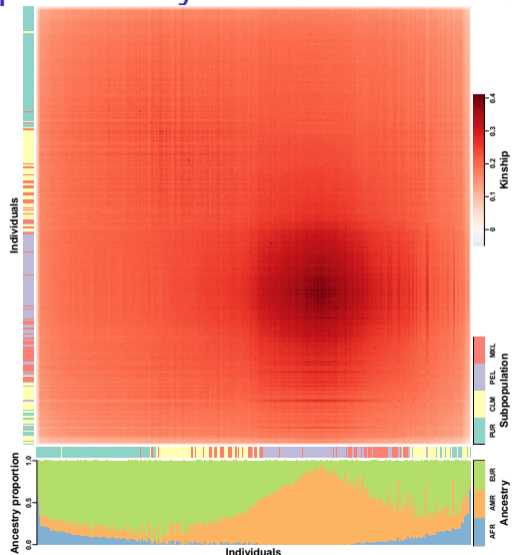
- ▶ Control of type-I error
- ▶ Increased power with multiscan
- ▶ Great runtime for single scan (enables multiscan)

# LIGERA: light genetic robust association: scalability



Admixture: kinship driven by admixture in Hispanics

# Admixture: kinship driven by admixture in Hispanics



Ochoa and Storey (2019b) doi:10.1101/653279

P  
O  
P  
K I N

<https://github.com/StoreyLab/popkin>



# Kinship under the admixture model

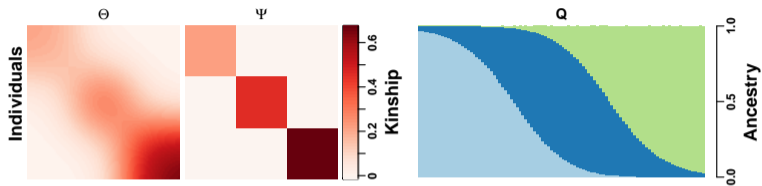


Amika Sood  
Postdoc

# Kinship under the admixture model



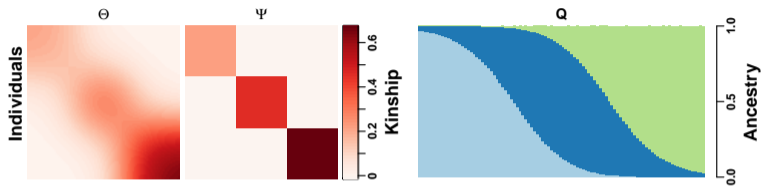
Amika Sood  
Postdoc



# Kinship under the admixture model



Amika Sood  
Postdoc

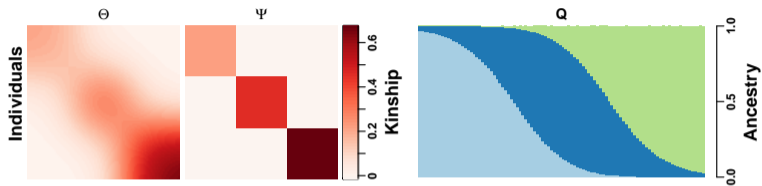


$$\Theta = Q\Psi Q^T$$

# Kinship under the admixture model



Amika Sood  
Postdoc



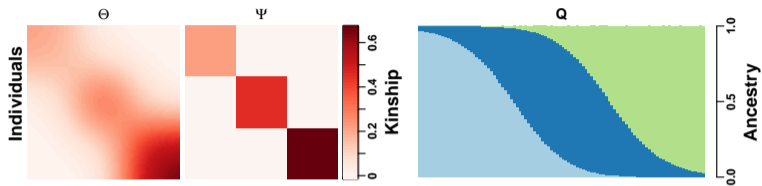
$$\Theta = Q\Psi Q^T$$

Can we reverse this formula?

# Kinship under the admixture model



Amika Sood  
Postdoc



$$\Theta = \mathbf{Q}\Psi\mathbf{Q}^\top$$

Can we reverse this formula?

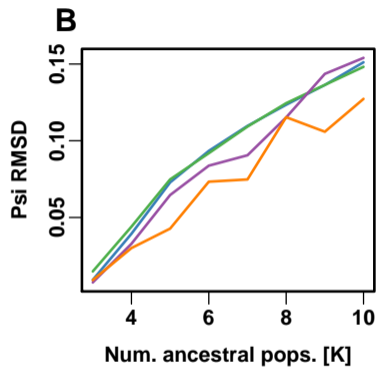
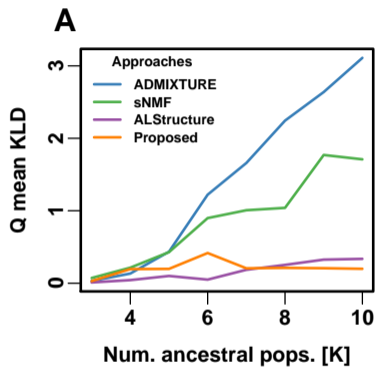
Constrained optimization, regularized objective:

$$F = \|\hat{\Theta} - \mathbf{Q}\Psi\mathbf{Q}^\top\|^2 + \gamma \text{tr}(\Psi).$$

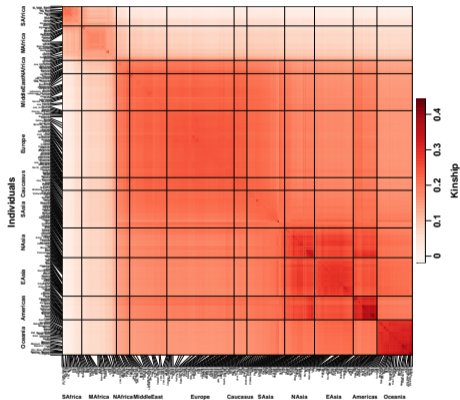
# AdmixCor: accuracy



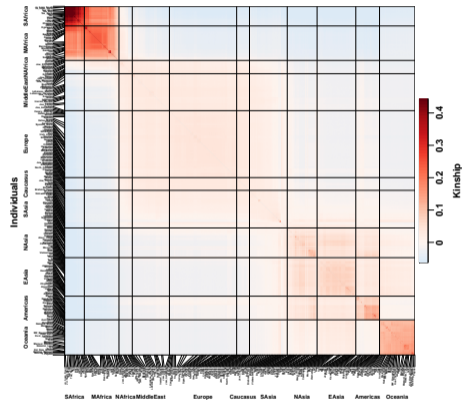
Amika Sood  
Postdoc



# Unbiased kinship estimates: new models, opportunities



New "popkin"  
kinship estimator



Biased "standard"  
kinship estimator

Ochoa and Storey (2019b) doi:10.1101/653279

# Acknowledgments

## Ochoa Lab

Amika Sood

Tiffany Tu

Yiqi Yao

Zhuoran Hou

Jiajie Shen

Emmanuel Mokel

## Princeton University

John D. Storey

## Duke University

Kouros Owzar

Rasheed Gbadegesin

Beth Hauser

Yi-Ju Li

Andrew Allen

Amy Goldberg

## Funding

NIH

Whitehead Scholars



GCB


Duke Center for Genomic  
and Computational Biology



Department of  
Biostatistics & Bioinformatics

Duke University School of Medicine

 DrAlexOchoa

 [ochoalab.github.io](https://ochoalab.github.io)

 [alejandro.ochoa@duke.edu](mailto:alejandro.ochoa@duke.edu)